



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant	David S. Miller
Application No.	To be assigned (Continuation of 09/776,707)
Confirmation No.	To be assigned
Filed	February 23, 2004
For	System for Tax Data Collection (as amended)
Examiner	To be assigned
Art Unit	To be assigned
Attorney Docket No.	31921-192598
Customer No.	26694

TRANSMITTAL LETTER

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Arlington, Va 22313-1450

Sir:

Submitted herewith is a Continuation Patent Application under 37 C.F.R. § 1.53(b) for:

Inventor: David S. MILLER

Title: SYSTEM FOR TAX DATA COLLECTION

This is not a Provisional Application.

The submitted Continuation Patent Application is a continuation of Patent Application No. 09/776,707, filed February 6, 2001, which is a continuation of U.S. Patent Application No. 09/073,027, filed May 7, 1998 (U.S. Patent No. 6,202,052).

The application includes:

- ☒ Application Data Sheet
- ☒ Specification (23 pages), including claims (1-21) and an Abstract (one page)
- ☒ Formal drawings (2 sheets, Figures 1-2)
- ☒ Copy of Oath/Declaration originally filed in Parent Application
No. 09/073,027
- ☒ Copy of Associate Power of Attorney filed in Parent Application
No. 09/073,027
- ☒ Information Disclosure Statement with Form 1449

☒ Nonpublication Request
☒ Preliminary Amendment

In view of the above, it is requested that this Continuation Patent Application be accorded a filing date. This Continuation Patent Application is neither a CPA nor an RCE.

Please enter the concurrently filed Preliminary Amendment.

The Parent Application No. 09/776,707 was pending in Group Art Unit 3623 with Examiner M. Irshadullah.

The priority of the following co-pending application is claimed under 35 U.S.C. § 120: U.S. Patent Application No. 09/776,707, filed February 6, 2001, which is a continuation of U.S. Patent Application 09/073,027, filed May 7, 1998 (U.S. Patent No. 6,202,052).

The priority of the following application is claimed under 35 U.S.C. § 119(e): U.S. Provisional Patent Application No. 60/045,945, filed May 8, 1997.

The Parent Application was assigned of record to Simplification, LLC of New York, New York. The recorded assignment assigns continuations of the Parent Application No. 09/073,027, filed May 7, 1998 (U.S. Patent No. 6,202,052), which includes this Continuation Patent Application, to Simplification, LLC of New York, New York. The assignment was recorded in the U.S. Patent and Trademark Office on May 7, 1998, Reel 9164, Frame 132.

An Information Disclosure Statement and a Form 1449 listing references filed in the Parent Application No. 09/776,707 are included.

U.S. Provisional Patent Application No. 60/045,945, filed May 8, 1997, U.S. Patent Application No. 09/073,027, filed May 7, 1998 (U.S. Patent No. 6,202,052), and U.S. Patent Application No. 09/776,707, filed February 6, 2001, are hereby incorporated herein by reference into this Continuation Patent Application.


A copy of the Declaration filed in the Parent Application is included. Also included is a copy of the Associate Power of Attorney for Michael A. Sartori (Reg. No. 41,289), filed on February 3, 2000 in Parent Application No. 09/776,707.

Please address all communications to:

VENABLE
Post Office Box 34385
Washington, D.C. 20043-9998
Telephone No.: (202) 344-4000
Facsimile No.: (202) 344-8300

Respectfully submitted,

Date: February 23, 2004


Michael A. Sartori, Ph.D.
Registration No. 41,289

MAS/KBL
DC2-523697

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 766

Application Number To be assigned
Filing Date February 23, 2004
First Named Inventor David S. MILLER
Examiner Name To Be Assigned
Group / Art Unit To Be Assigned
Attorney Docket No. 31921-192598

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

VENABLE LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 385)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
48	-20 ** = 28	X 9 = 252	
Independent Claims	6	-3 ** = 3	X 43 = 129
Multiple Dependent	0	X 0 = 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2204	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 381)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2215	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	25403	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael A. Sartori, Ph.D	Registration No. Attorney/Agent)	41,289	Telephone	(202) 344-4000
Signature				Date	February 23, 2004

VENABLE
ATTORNEYS AT LAW

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

PC Docs No. 523912

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	David S. MILLER
	Title	SYSTEM FOR TAX DATA COLLECTION
	Atty Docket Number	31921-192598

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 23, 2004

Date



Signature

Michael A. Sartori, Ph.D

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
 PC Docs No. 2/523673